

Docket No.: S63.2N-6399-US04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Charles L. Euteneuer, Christopher R. Larson,

Steven P. Mertens, Richard C. Mattison, David J. Blaeser, Louis G. Ellis, Andrew J. Dusbabek and

Terry V. Brown

Application No.:

10/648075

Filed:

August 26, 2003

For:

Catheter Support for Stent Delivery

Examiner:

Not Yet Assigned

Group Art Unit:

Not Yet Assigned

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, except that U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.

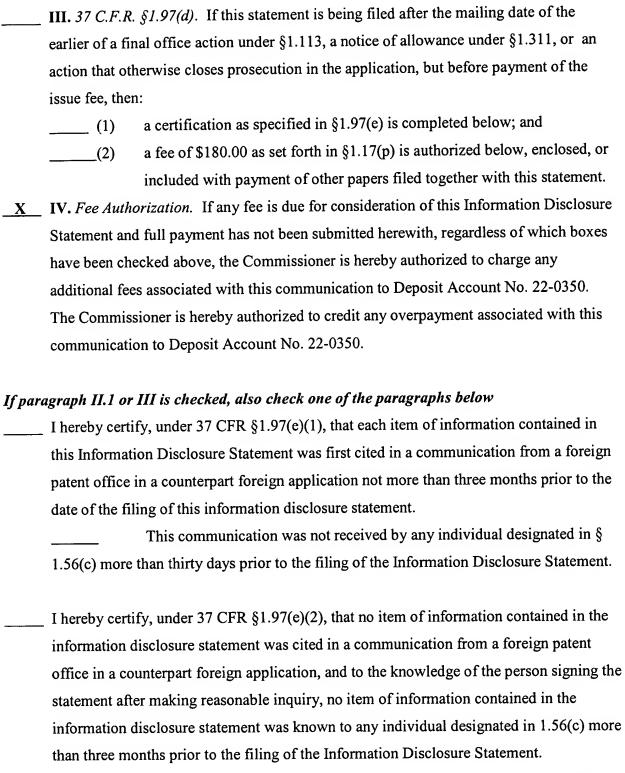
Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

<u>X</u>	I. Thi	s staten	nent qualifies as a no-fee Information Disclosure Statement under 37 C.F.R.
§1.97	(b) or ot	herwise	because to the knowledge of the undersigned attorney it is being filed
(check	all that	t apply)	:
	<u>X</u>	(1)	within 3 months of the filing date of the application (other than a CPA); or
		(2)	within 3 months of entry of the national stage; or
	<u>X</u>	(3)	before the mailing of a first Office Action on the merits;
		(4)	before the mailing of a first Office Action after the filing of a request for
			continued examination (RCE) under §1.114;
		(5)	as part of a continued prosecution application (CPA); or
		(6)	during the period of a suspension of action for a CPA under 37 C.F.R.
			§1.103(b).
	II. Th	is stater	ment is believed to require a fee or the submission of a certification under
	37 C.F	7.R. §1.9	97 (c) or otherwise. If this statement is being filed after the latest of: (1)
	three r	nonths l	beyond the filing date of a national application (other than CPA); (2) three
	month	s beyon	d the date of entry of the national stage as set forth in §1.491 in an
	interna	ational a	application; (3) the mailing of a first Office Action on the merits; (4) the
	mailin	g of a fi	rst Office Action after the filing of a request for continued examination
	under	§1.114;	or (5) after the filing of a request for a continued prosecution application,
	but be	fore the	mailing date of the earlier of a final office action under §1.113, a notice of
	allowa	ince und	der §1.311 or an action that otherwise closes prosecution in the application,
	then:		
		(1)	a certification as specified in §1.97(e) is provided below; or
		_(2)	a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or
			included with the payment of other papers filed together with this
			statement.

Information Disclosure Statement Attorney Docket No. S63.2N-6399-US04



For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAU

Date: September 11, 2003

William E. Anderson II Registration No.: 37766

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LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

ATTY DOCKET NO.: S63.2N-6399-

APPLICATION NO.: 10/648075

APPLICANT: Charles L. Euteneuer, Christopher R. Larson, Steven P. Mertens, Richard C. Mattison, David J. Blaeser, Louis G. Ellis, Andrew J. Dusbabek and Terry V.

Brown

FILING DATE: August 26, 2003

GROUP: Not Yet Assigned

	L	E DESIGNATION	U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS DATE NAME CLASS/ FILING DATE					
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	SUBCLASS	IF APPROPRIATE		
	AA	5,108,416	04/28/1992	Ryan et al.	606/194			
	AB	5,116,318	05/26/1992	Hillstead	604/96			
	AC	5,409,495	04/25/1995	Osborn	606/108			
	AD	6,361,555 B1	03/26/2002	Wilson	623/1.11			
	AE	6,371,962 B1	04/16/2002	Ellis et al.	606/108			
	AF	6,379,365 B1	04/30/2002	Diaz	606/108			
	AG	6,383,212 B2	05/07/2002	Durcan et al.	623/1.11			
	AH	6,391,032 B2	05/21/2002	Blaeser et al.	606/108			
	ΑI	6,395,008 B1	05/28/2002	Ellis et al.	606/108			
	AJ	6,419,685 B2	07/16/2002	Di Caprio et al.	606/192			
	AK	6,447,501 B1	09/10/2002	Solar et al.	604/528			
	AL	6,468,298 B1	10/22/2002	Pelton	623/1.11			
	AM	6,488,688 B2	12/03/2002	Lim et al.	606/108			
	AN	6,488,694 B1	12/03/2002	Lau et al.	606/194			
	AO	6,506,202 B1	01/14/2003	Dutta et al.	606/194			
-	AP	6,517,548 B2	02/11/2003	Lorentzen Cornelius et al.	606/108			
	AQ	6,527,789 B1	03/04/2003	Lau et al.	606/194			
	AR	6,544,268 B1	04/08/2003	Lazarus	606/108			
	AS	6,589,274 B2	07/08/2003	Stiger et al.	623/1.11			
	AT	6,592,550 B1	07/15/2003	Boatman et al.	604/103.06			
	AU	6,592,568 B2	07/15/2003	Campbell	604/509			
	AV	6,592,592 B1	07/15/2003	Cox	606/108			
	AW	6,602,226 B1	08/05/2003	Smith et al.	604/103.05			
	AX	6,605,107 B1	08/12/2003	Klein	623/1.11			
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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PORMOGN	BADEM 1449 (Modified)			ATTY DOCKET NO.: S63.2-10325	APPLICAT	ION NO.: 10/004,729		
LIST	r of P	ATENTS AND PUBLICATI FOR APPLICANT'S	ONS .	APPLICANT: Euteneuer, Charles L. et al.				
INFO)	RMAT	ION DISCLOSURE STATE (Use several sheets	MENT if necessary)	FILING DATE: December 4, 2001	GROUP: 37	64		
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EXAM'S	CEPER	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE		
INIT.			09/07/93	Harada, et al.	606/108			
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FORM PTO	INF	(Modified) ORMATION DISCLOS TEMENT BY APPLICA	URE	APPLICANT: Charles L EUTENE	UER et al.			
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AA	4,328,056	05/04/1982	Snooks				
AB	4,338,942	07/13/1982	Fogarty	-			
AC	4,423,725	01/03/1984	Baran et al.				
αD	4,608,984	09/02/1986	Fogarty				
AE	B1 4,733,665	01/11/1994	Palmaz			-	
AF	4,740,207	04/26/1988	Kreamer			 	
AG	4,744,366	05/17/1988	Jang	<u> </u>		 	
AH	4,848,343	07/18/1989	Wallstein et al.	 	-		
AI	4,875,480	10/24/1989	Imbert				
AJ	4,950,227	08/21/1990	Savin et al.	-		 	
AK	5,007,926	04/16/1991	Derbyshire	<u> </u>			
AL	5,026,377	06/25/1991	Burton et al.	<u>_</u>	<u></u>	<u> </u>	
		NT DOCUME	NT OR PUBLISHED FOREIGN PATENT	C APPLI	CATION		
	DOCUMENT NUMBER	PUBLICATION	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSL	ATIOI
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ВА	0 257 091 B1	07/28/1993	EPO			<u> x</u>	-
ВВ	0 274 846 B1	02/09/1994	EPO			x	1
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BJ BK OTHER AL	WO 96/03092 A1 WO 96/03072 RT (Including Author, Julio C. Palmaz et al 77.	02/08/1996 02/08/1996 Title, Date, Per	PCT PCT rtinent Pages, Etc.)	work in proj	gress ⁷ , RADIO	х	85; 1:

Serial No: 08/807,791 FORM PTO-1449 (Modified) ATTY DOCKET NO: S63.2-6399 2 2 INFORMATION DISCLOSURE APPLICANT: Charles L. Euteneuer, Christopher Larson, Steven P. Mertens, Richard C. Mattison, David J. Blaeser, Louis G. Ellis, Andrew J. Dusbabek STATEMENT (Use several sheets if necessary) FILING DATE: February 28, 1997 Group: REFERENCE DESIGNATION U.S. PATENT DOCUMENTS CLASS SUBCLASS FILING DATE ISSUE DATE PATENTEE EXAM'S PATENT NUMBER IF APPROPRIATE INIT. 08/06/1991 Hillstead 5,037,392 09/17/1991 Shaffer et al. 5,049,132 12/10/1991 Termin et al. AC 5,071,407 5,108,416 04/28/1992 Ryan et al. ŒA 5,116,318 05/26/1992 Hillstead ΑE 10/27/1992 5,158,548 Lau et al. 07/13/1993 5,226,880 Martin AG 07/13/1993 Sheiban 5,226,889 HA 09/07/1993 Lau et al. ΑĪ 5,242,399 5,290,306 03/01/1994 Trotta et al. AK 5,342,305 08/30/1994 Shonk .09/06/1994 Crocker ۸Ł 5,344,402 5,344,426 09/06/1994 Lau et al. AM 10/25/1994 5,358,487 Miller AN 01/03/1995 ΑO 5,378,237 Boussignac et al. 04/04/1995 Solar 5,403,341 AP 04/11/1995 ΑQ 5,405,380 Gianotti et al. AR 5,409,495 04/25/1995 Osborn 5,415,664 05/16/1995 Pinchuk ÁS 08/29/1995 ΑT 5,445,646 Euteneuer et al. ΑÜ 5,447,497 09/05/1995 Sogard et al. 09/26/1995 Martinez et al. ΑV 5,453,090 AW 10/17/1995 Klemm et al. 5,458,615 5,470,313 11/28/1995 Crocker et al. ΑX ΑY 5,507,768 04/16/1996 Lau et al. **EXAMINER** DATE CONSIDERED EXAMINER: Initial clatton considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with

next communication to applicant

Page _2_ of _3_

Serial No: 08/807,791 ATTY DOCKET NO: S63.2-6399 FORM PTO-1939 (Modified) APPLICANT: Charles L. Euteneuer, Christopher Larson, Steven P. Mertens, Richard C. Mattison, SEP 2 2 2000 INFORMATION DISCLOSURE David J. Blaeser, Louis G. Ellis, Andrew J. Dusbabek STATEMENT
(Use several sheets if necessary) Group: FILING DATE: February 28, 1997 U.S. PATENT DOCUMENTS REFERENCE DESIGNATION CLASS SUBCLASS FILING DATE PATENTEE ISSUE DATE PATENT NUMBER IF APPROPRIATE **EXAM'S** INIT. 04/30/1996 Wang et al. 5,512,051 St. Germain et al. 07/09/1996 5,534,007 AB 07/16/1996 Imran et al. AC 5,536,252 AD ΑE ΑF AG AΗ AI AJ АK ΑL AM AN ΑO ÁΡ AQ AR AS AT ΑU A٧ ΑW AX ΑY DATE CONSIDERED EXAMINER EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with

next communication to applicant.

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SEP 2 2 2003

Serial No: 08/697,453 ATTY DOCKET NO:S63.2-6048 FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT: Louis G. Ellis, Andrew J. Dusbabek APPLICANT'S INFORMATION DISCLOSURE Group: 3309 STATEMENT FILING DATE: August 23, 1996 (Use several sheets if necessary) U.S. PATENT DOCUMENTS REFERENCE DESIGNATION SUBCLASS FILING DATE CLASS NAME DATE DOCUMENT NUMBER IF APPROPRIATE **EXAM'S** INIT. 10/05/1954 Raiche 2,690,595 06/09/1981 Fogarty et al. ۸B 4,271,839 05/04/1982 Inoue 4,327,736 AC 09/13/1983 Fogarty AD 4,403,612 Oestreich 03/18/1986 4,576,871 ΑE 01/20/1987 Cook 4,637,396 AF 03/17/1987 Kowalewski 4,649,914 AG Brooks et al. 10/27/1987 4,702,252 HA 08/16/1988 Jang 4,763,654 ΑT 11/29/1988 Hofmann 4,787,388 ٨J 12/05/1989 Tight, Ir. et al. 4,885,194 FOREIGN PATENT DOCUMENTS SUBCLASS TRANSLATION CLASS COUNTRY DATE DOCUMENT NUMBER YES 07/13/1988 EPO 0 274 411 A2 x 04/03/1991 EPO 0 420 488 A1 AM 04/03/1993 EPO 0 420 488 B1 AN x 11/21/1991 EPO 0 457 456 AI 05/12/1993 EPO 0 540 B58 OTHER ART (Including Anthor, Title, Date, Pertinent Pages, Etc.) Kim et al., Mechanical and Transport Properties of Coextruded Films, Journal of Applied Polymer Science, Vol. 29, p. 2359-2382 (1984). AR May et al., Polyvisyl and viryl copolymers, MODERN PLASTICS ENCYCLOPEDIA, 1986-1987, p. 82. AS Daniel O. Adams, BME, PTCA Balloon Materials, Their Characteristics and Impact on Catheter Selection, Sales training Technical Notes. ΑT DATE CONSIDERED EXAMINER EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPHP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Page 1 of 4 F:\WPWORK\FORMS\PTO-1449.FRM

FORM PTO (Modified)

ATTY DOCKET NO: S63.2-6048

Serial No: 08/697,453

2 2 2003 INEORMATION DISCLOSURE STATEMENT Disc several sheets if necessary)

APPLICANT: Louis G. Ellis, Andrew J. Dusbabek

Group: 3309 FILING DATE: August 23, 1996

PABREN	NCE I	ESIGNATION	U.S. P	ATENT DOCUMENTS	T		
EXAM'S INIT.		PATENT NUMBER	issue date	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,932,958	06/12/1990	Reddy et al.	ļ		
	AB	4,983,167	01/08/1991	Sahota			·
	AC	4,990,139	02/05/1991	Jang			
	AD	4,994,033	02/19/1991	Shokey et al.			
	AE	5,049,131	09/17/1991	Deuss			
	AIT	5,057,092	10/15/1991	Webster, Jr.			
	AG	5,071,406	12/10/1991	Jang			
	AH	5,090,958	02/25/1992	Sahota			
	AI	5,096,848	03/17/1992	Каматига			
	AJ	5,108,370	04/28/1992	Walinsky			
	AK	5,195,969	03/23/2993	Wang et al.			
	AL	5,264,260	11/23/1993	Sanb			
	AM	5,270,086	12/14/1993	Hamlin			
	AN	5,295,962	03/22/1994	Crocker et al.			
	AO	5,298,300	03/29/1994	Hosoi et al.			
	AP	5,304,132	04/19/1994	Jang			
	AQ	5,306,250	04/26/1994	March et al.			
	AR	5,344,401	09/06/1994	Radisch et al.			
	AS	5,348,538	09/20/1994	Wang et al.			
	AT	5,358,487	10/25/1994	Miller			
	ΑU	5,415,635	05/16/1995	Bagaoisan et al.			
	AV	5,478,320	12/26/1995	Trotta			
	AW	5,490,839	02/13/1996	Wang et al.			
	AX	5,587,125	12/24/1996	Roychowdhury			-
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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والمراجع بالروح والمراجع ومطال والمراجع والمتعاطين وأنام بالمراجع والمستعصور والمراجع للمالي Serial No: 08/697,453 ATTY DOCKET NO: S63.2-6048 FORM PTO 2449 (Modified) LIST OF ATENTS AND PUBLICATIONS FOR APPLICANT: Louis G. Eilis, Andrew J. Dusbabek 2 2 2001 LANT'S INFORMATION DISCLOSURE STATEMEN.

Sise several sheets if necessary)

FORE Group: 3309 FILING DATE: August 23, 1996 FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION SUBCLASS TRANSLATION CLASS COUNTRY DOCUMENT NUMBER DATE EXAM'S Yes No INIT. X 02/16/1994 EPO 0 582 870 A2 BA x PCT .05/29/1992 W0 92/08512 BBX 11/12/1992 PCT WO 92/19440 BC х PCT 04/13/1995 WO 95/09667 BDX 08/24/1995 PCT WO 95/22367 BE X PCT 02/22/1996 WO 96/04951 BF BG BH BI ВJ BK BLBM BN BO BP BQ BR BS BT BU ΒV

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FORM PT	0-14	(Modified)	ATTY DOCKET NO: S63.2-6048	Serial No: 08/697,453
Ban	MEOR	rmation disclosure	APPLICANT: Louis G. Ellis, Andrew J. Dusbabek	
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	CA		loons for Medical Devices, Health Watch, Prepared October 25,	, 1994 at 3:04, p. 3.
	СВ	Avanindra Jain et al., Effect of Inflatio 1, 1986, Volume 57, p. 26-28.	on Pressures on Coronary Angioplasty Balloons, THE AMERIC	AN JOURNAL OF CARDIOLOGY, January
	СС	Dialog Patent Search dated November	3, 1994.	
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	AG	4,740,207	. 04/26/1		Kreamer					
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	AE	5,108.416	04/28/1992	Ryan et al.				
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	AA	5,470,313	11/28/1995	Crocker et al.					
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; -- ; FORM PTO 1449 (Modified) **ATTY DOCKET NO: S63.2-6048** Serial No: 08/697,453 INFORMATION DISCLOSURE 2 2 2003 APPLICANT: Louis G. Ellis, Andrew J. Dusbabek STATEMENT BY APPLICANT (Use several sheets if necessary) FILING DATE: August 23, 1996 Group: 3309 .. REFERENCE DESIGNATION U.S. PATENT DOCUMENTS PATENT NUMBER PATENTEE CLASS SUBCLASS ISSUE FILING DATE EXAM'S INIT. DATE IF APPROPRIATE 4,423,725 01/03/1984 Baran et al. ΆB 05/17/1988 4,744,366 Jang 4,950,227 08/21/ 990 AC Savin et al. 5,037,392 08/06/1991 Hillstead AD 05/26/1992 Hillstead AE 5,116,318 07/13/1993 AF 5,226,880 Martin 5,342,305 AG 08/30/1994 Shonk 04/11/1995 ΑH 5,405,380 Gianotti et al. 05/16/1995 Pinchuk 5,415,664 ΑI ΑJ 5,470,313 11/28/1995 Crocker et al. 07/09/1996 5,534,007 St. Germain et al. AJ 5,536,252 07/16/1996 AK Imran et al. FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION DOCUMENT NUMBER PUBLICATION COUNTRY OF PATENT OFFICE CLASS SUBCLASS TRANSLATION DATE YES NO 08/21/1991 **X** BA 0 442 657 A2⁻ **EPO** 0 553 960 A1 08/04/1993 BB **EPO** BC 02/08/1996 X W096/03072 A1 PCT WO96/03092 A1 02/08/1996 BD PCT X 04/24/1995 EPO BE EP O 707 837 A1 **OTHER** ART (Including Author, Title, Date, Pertinent Pages, Etc.) CA Julio C. Palmaz et al., 156 RADIOLOGY 73 (1985), Expandable Intraluminal Graft: A Preliminary Study. CB CC **EXAMINER** DATE CONSIDERED EXAMINER: Initial citation connsidered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form

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Serial No: 08/697,453

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APPLICANT: Louis G. Ellis, Andrew J. Dusbabek

FILING DATE: August 23, 1996

Group: 3309

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A	A 4,328,056	05/04/1982	Snooks			
A	B 4,338,942	07/13/1982	Fogarty			
A	C 4,608,984	09/02/1986	Fogarty			
A	D 4,733,665	03/29/1988	Palmaz			
A		10/24/1989	Imbert .			
A	F 4,848,343	07/18/1989	Wallstein et al.			
A	G 5,049,132	09/17/1991	Shaffer et al.			
A	H 5,226,889	07/13/1993	Sheiban			
A	5,290,306	03/01/1994	Trotta et al.			
A	5,358,487	10/25/1994	Miller			
A	K 5,409,495	04/25/1995	Osborn			
A	L 5,447,497	09/05/1995	Sogard et al.			
A	M 5,458,615	10/17/1995	Klemm et al.			
A	N 5,507,768	04/16/1996	Lau et al.			
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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